(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



РСТ

- (43) International Publication Date 3 January 2002 (03.01.2002)
- (51) International Patent Classification⁷: B01L 7/00, 3/00, B01J 19/00, G01N 21/07, C12Q 1/68
- (21) International Application Number: PCT/US01/20707
- (22) International Filing Date: 28 June 2001 (28.06.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

60/214,642	28 June 2000 (28.06.2000)	US
60/214,508	28 June 2000 (28.06.2000)	US
60/237,051	2 October 2000 (02.10.2000)	US
60/260,063	6 January 2001 (06.01.2001)	US
60/284,637	18 April 2001 (18.04.2001)	US

- (71) Applicant: 3M INNOVATIVE PROPERTIES COM-PANY [US/US]; 3M Center, Post Office Box 33427, Saint Paul, MN 55133-3427 (US).
- (72) Inventors: BEDINGHAM, William; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). RAJAGOPAL, Raj; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). ROBOLE, Barry, W.; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). SESHADRI, Kannan; Post Office Box 33427, Saint Paul, MN 55133-3427 (US).

(74) Agents: SPRAGUE, Robert W. et al.; Office of Intellectual Property Counsel, Post Office Box 33427, Saint Paul,

- (81) Designated States (national): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EC, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

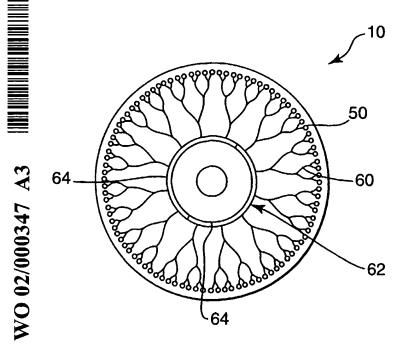
- with international search report

MN 55133-3427 (US).

 before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: SAMPLE PROCESSING DEVICES, SYSTEMS AND METHODS



(57) Abstract: Devices, systems, and methods for processing sample materials. The sample materials may be located in a plurality of process chambers in the device, which is in the form of a disk, rotated during heating of the sample materials. The temperature of the disk may be controlled by directing electromagnetic energy at its bottom surface while rotating it. Sample materials may be moved betwwen process chambers using centrifugal force.

(10) International Publication Number

WO 02/000347 A3

WO 02/000347 A3

(88) Date of publication of the international search report: 10 October 2002 For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette. 43

٩.

	INTERNATIONAL SEARCH REPORT		International Application No PCT/US 01/20707		
a. classi IPC 7	FICATION OF SUBJECT MATTER B01L7/00 B01L3/00 B01J19/	/00 G01N21/	′07 C12Q	1/68	
B. FIELDS	o International Patent Classification (IPC) or to both national classific SEARCHED ocumentation searched (classification system followed by classification BOIL CI2Q GOIN F28F B04B G05				
	tion searched other than minimum documentation to the extent that ata base consulted during the international search (name of data b ternal				
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT				
Category °	Citation of document, with indication, where appropriate, of the n	elevant passages		Relevant to claim No.	
X Y A	EP 0 693 560 A (BECTON DICKINSON 24 January 1996 (1996-01-24) column 7, line 48 -column 9, lin column 11, line 16-19,35-40 column 12, line 55-58 column 13, line 55,56 column 14, line 10-17,39-45 column 16, line 22 -column 17, 1 column 18, line 43 -column 19, 1 column 20, line 6-39 figures 2-4,15,16 claims 1,8	ne 21 ine 35		14,19,20 1-6,15, 16,21,25 12,13, 37, 40-42, 58-60, 62,82,83	
X Furth	ner documents are listed in the continuation of box C.	X Patent family	members are listed	in annex.	
 A' docume consid E' earlier of filing d L' docume which in citation O' docume other r P' docume later the Date of the a 	In which may throw doubts on priority claim(s) or is cited to establish the publication date of another n or other special reason (as specified) ant referring to an oral disclosure, use, exhibition or neans int published prior to the international filing date but han the priority date claimed actual completion of the international search	cited to understan invention "X" document of partic cannot be conside involve an inventi "Y" document of partic cannot be conside document is com in the art. "&" document member	d not in conflict with d the principle or the ular relevance; the d ared novel or cannoi ve step when the do ular relevance; the d ared to involve an in bined with one or m bination being obvio of the same patent the international second	the application but eory underlying the claimed invention to be considered to cournent is taken alone claimed invention ventive step when the one other such docu- us to a person skilled family	
<u> </u>	2 August 2002 nailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer	., N		

•

. a 🛛 🔊

INTERNATIONAL SEARCH REPORT

r,

ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
WO 97 46707 A (RASMUSSEN RANDY P ;UNIV UTAH RES FOUND (US); RIRIE KIRK M (US); WI) 11 December 1997 (1997-12-11) page 28, line 19 -page 29, line 14	1-6,15, 16,21,25
WO 98 53311 A (GAMERA BIOSCIENCE CORP) 26 November 1998 (1998-11-26)	7,9, 11-13, 25,37, 39-47, 58-62, 81,83,84
page 19, line 9-12 page 19, line 9-17 page 21, line 5-9 page 25, line 6-11,23-31 page 46, line 15 -page 49, line 6 page 52, line 9 -page 55, line 10 page 85, line 25 -page 87, line 5 figures 1,2,5,29,39	
US 5 863 502 A (SOUTHGATE PETER DAVID ET AL) 26 January 1999 (1999-01-26) figures 10,14 column 4, line 26 - line 30 column 5, line 57 - line 60 column 18, line 39 - line 42 column 18, line 55 -column 19, line 29 claims 11,12	9,37,81
WO 97 21090 A (GAMERA BIOSCIENCE) 12 June 1997 (1997-06-12) page 22, line 5 - line 17 page 24, line 20 -page 28, line 9	43,44,56
US 4 244 916 A (GUIGAN JEAN) 13 January 1981 (1981-01-13) column 2, line 56 - line 57	47,61
DE 37 12 624 A (HOLZER WALTER) 3 November 1988 (1988-11-03) column 2, line 5 - line 13 figures 2,3	56,57
EP 0 810 030 A (PERKIN ELMER CORP) 3 December 1997 (1997-12-03) page 9, line 18 - line 21	38,85
	Citation of documment, with indication, where appropriate, of the relevant passages W0 97 46707 A (RASMUSSEN RANDY P ;UNIV UTAH RES FOUND (US); RIRIE KIRK M (US); WI) 11 December 1997 (1997-12-11) page 28, line 19 -page 29, line 14 W0 98 53311 A (GAMERA BIOSCIENCE CORP) 26 November 1998 (1998-11-26) page 13, line 9-12 page 19, line 9-17 page 21, line 5-9 page 25, line 6-11,23-31 page 46, line 15 -page 49, line 6 page 52, line 9 -page 55, line 10 page 85, line 25 -page 87, line 5 figures 1,2,5,29,39 US 5 863 502 A (SOUTHGATE PETER DAVID ET AL) 26 January 1999 (1999-01-26) figures 10,14 column 4, line 26 - line 30 column 5, line 57 - line 60 column 18, line 39 - line 42 column 18, line 55 -column 19, line 29 claims 11,12 W0 97 21090 A (GAMERA BIOSCIENCE) 12 June 1997 (1997-06-12) page 24, line 20 - page 28, line 9 US 4 244 916 A (GUIGAN JEAN) 13 January 1981 (1981-01-13) column 2, line 56 - line 57 DE 37 12 624 A (HOLZER WALTER) 3 November 1988 (1988-11-03) column 2, line 5 - line 13 figures 2,3 EP 0 810 030 A (PERKIN ELMER CORP) 3 December 1997 (1997-12-03)

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

.

-4

International application No. PCT/US 01/20707

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
 X As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.: 1-47, 56-62 (in part), 81-85
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. X No protest accompanied the payment of additional search fees.

International Application No. PCT/US 01 20707

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210 This International Searching Authority found multiple (groups of) inventions in this international application, as follows: 1. Claims: 1-8, 14-24, 25-36, 10 (in part), 56-62 (in part) claims 10, 56-62: insofar they relate to one of the claims 1-8, 14-24 or 25-36a method of conducting a thermal cycling process with control of the temperature by directing electromagnetic energy to a thermal structure while rotating 2. Claims: 9-13, 37-47, 81-85, 10 (in part) and 56-62 (in part) claims 10 and 56-62: insofar they relate to one of the claims 9-13, 37-47 or 81-85 a method of conducting a thermal cycling process with control of the temperature by a thermoelectric module while rotating 3. Claims: 48-55, 56-62 (in part) claims 56-62: insofar they relate to one of the claims 48-55 a method providing a device comprising a loading chamber and a first process chamber 4. Claims: 63-69 a device with valves comprising impermeable barriers 5. Claims: 70, 73 a device with a seal comprising shape memory polymer 6. Claim : 74 a device with a control pattern comprising at least one indicator 7. Claims: 75-80 a method of processing sample material comprising providing paramagnetic particles and a magnet

INTERNATIONAL SEARCH REPORT Information on patent family members			International Application No PCT/US 01/20707		
Patent document cited in search report		Publication date		Patent family member(s)	Publication date
EP 0693560	A	24-01-1996	US	5639428	A 17-06-199
			AU	2331095	
			BR	9503364	A 27-02-199
			СА	2152307	A1 20-01-199
			ΕP	0693560	A2 24-01-199
			JP	8062225	A 08-03-199
			SG	32408	A1 13-08-199
WO 9746707	A	11-12-1997	AU	727296	B2 07-12-200
			AU	3154797	A 05-01-199
			AU	729644	
			AU	3380097	
			AU	726501	
			AU	3481297	
			CA	2256773	
			CA	2257109	
			EP	1179600	
			EP	0912760	
			EP	0906449	
			EP	0912766	
			EP	1033411	
			JP		T 19-09-200
			JP		T 05-09-200
			JP	2000509608	
			NZ	333135	
			NZ	333136	
			NZ	333137	
			NZ	502323	
			WO	9746707	
			WO WO	9746712 9746714	
			US	6245514	
			US	6232079	
			US	2002058258	
			US	6174670	
WO 9853311	 A	26-11-1998	AU	7591998	A 11-12-199
NG JOGUGII	~	CO II 1990	EP	0983504	
			JP		T 07-11-200
			ŬS	6063589	• • • • • • • • • • • • • • • • • • • •
			ŴŎ	9853311	
			ÜŠ	6302134	
			US	2001001060	
US 5863502	A	26-01-1999	AU	1825197	A 20-08-199
	• •	•• •••	WO	9727324	
W0 9721090	 A	12-06-1997	AU	702403	B2 18-02-199
			AU	1283397	
			CA	2239613	
	•		ĊŇ	1208464	
			EP	0865606	
			JP		T 29-01-200
			NO	982563	
			WO	9721090	
			US	2001055812	A1 27-12-200
			US US	6319469	

Form PCT/ISA/210 (patent family annex) (July 1992)

8.

INTERNATIONAL SEARCH REPORT					International Application No	
 Information on patent family me			mbers	01/20707		
Patent document cited in search report		Publication date		Patent family member(s)	Publication date	
 WO 9721090	A		EP	0917648 A1	26-05-1999	
			JP	2001503854 T	21-03-2001	
			WO	9807019 A1	19-02-1998	
			US	6143248 A	07-11-2000	
US 4244916	А	13-01-1981	FR	2400700 A1	16-03-1979	
			FR	2424531 A2	23-11-1979	
			AR AU	214812 A1 519830 B2	31-07-1979	
			AU	3900478 A	24-12-1981 21-02-1980	
			BE	869392 A1	31-01-1979	
			BR	7805289 A	27-03-1979	
			CA	1103631 A1	23-06-1981	
			СН	625882 A5	15-10-1981	
			DE	2835362 A1	01-03-1979	
			DK	363878 A ,B,	19-02-1979	
			ES	472675 A1	16-02-1979	
			GB IE	2003602 A ,B 47392 B1	14-03-1979 07-03-1984	
			IL	55303 A	26-10-1980	
			IN	148205 A1	29-11-1980	
			IT	1097442 B	31-08-1985	
			JP	1140456 C	24-03-1983	
			JP	54033090 A	10-03-1979	
			JP	57031095 B	02-07-1982	
			LU	80100 A1	15-05-1979	
			MX	146074 A	11-05-1982	
			NL NZ	7808431 A ,B,	20-02-1979	
			RO	188131 A 74501 A1	05-03-1980 06-07-1982	
			SE	438052 B	25-03-1985	
			SĒ	7808643 A	19-02-1979	
			US	4154793 A	15-05-1979	
			ZA	7804680 A	29-08-1979	
			SU	1007560 A3	23-03-1983	
DE 3712624	A	03-11-1988	DE	3712624 A1	03-11-1988	
EP 0810030	Α	03-12-1997	EP	1157744 A1	28-11-2001	
			EP	0812621 A1	17-12-1997	
			EP	0810030 A1	03-12-1997	
•			AT AT	165621 T 216284 T	15-05-1998	
			AU	696482 B2	15-05-2002 10-09-1998	
			AU	2493495 A	07-12-1995	
			AU	662494 B2	07-09-1995	
			AU	8832791 A	04-06-1992	
			AU	9700298 A	04-03-1999	
			CA	2056743 A1	30-05-1992	
			DE	69129325 D1	04-06-1998	
				69129325 T2	10-09-1998	
			DE DE	69132992 D1 488769 T1	23-05-2002 17-12-1992	
			DE	488769 11 812621 T1	13-08-1998	
			DE	810030 T1	24-09-1998	
			DK	488769 T3	07-10-1998	
			EP ES	0488769 A2	03-06-1992	

Form PCT/ISA/210 (patent family annex) (July 1992)

-41

.

INTERNATIONAL SEARCH REPORT Information on patent family members			1	International Application No PCT/US 01/20707		
Patent document cited in search report	Publication date		Patent family member(s)	Publication date		
EP 0810030 A		GR IE IL IL JP KR NZ NZ US US US US US US US	92300125 T1 914170 A1 100209 A 111091 A 111092 A 6233670 A 236506 B1 240800 A 270628 A 270629 A 5282543 A 5710381 A 6015534 A 2002072112 A1 5602756 A	16-03-1993 03-06-1992 15-03-1995 31-12-1995 18-06-1996 23-08-1994 15-01-2000 26-10-1995 26-10-1995 26-10-1995 01-02-1994 20-01-1998 18-01-2000 13-06-2002 11-02-1997		

-4

THIS PAGE BLANK (USPTO)